

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Cornelia BORGMANN, et al.

SERIAL NO: 10/593,330

GAU:

FILED: September 19, 2006

EXAMINER:

FOR: METHOD FOR HYDROFORMYLATING OLEFINS IN THE PRESENCE OF ORGANOPHOSPHORIC COMPOUNDS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the reference(s) listed on the attached form PTO-1449. Copies of the listed reference(s) are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language reference(s).
- ☐ Online credit card payment is being made in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ Online credit card payment is being made in the amount required under 37 CFR §1.17(p).

CERTIFICATION

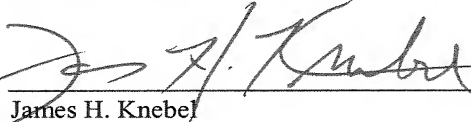
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon


James H. Knebel

Registration No. 22,630

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 296473US0X PCT		SERIAL NO. 10/593,330	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Cornelia BORGMANN, et al.			
				FILING DATE September 19, 2006		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	US 7,026,523 B2	04/11/06	ROTTGER, et al.			
	AB	US 7,115,790 B2	10/03/06	BELLER, et al.			
	AC	US 2005/0240039 A1	10/27/05	ROTTGER, et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

		Docket No.: 296473US0X PCT		Serial No.: 10/593,330	
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56		Inventor: Cornelia BORGMANN, et al.			
		Filing Date: September 19, 2006		Group:	
<u>LIST OF RELATED CASES</u>					
<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	244947US0XPCT	7,026,523	04/11/06		ROTTGER, et al
	253885US0XPCT	7,115,790	10/03/06		BELLER, et al.
	262416US0XPCT	10/517,620	12/23/04	US2005/0240039 A1	ROTTGER, et al.
	296473US0XPCT*	10/593,330	09/19/06		BORGMANN, et al
	302985US0XPCT	11/574,060	02/22/07		BORGMANN, et al.
	302158US0XPCT	11/574,018	02/21/07		BORGMANN, et al.
	307349US0XPCT	11/721,978	06/16/07		BELLER, et al.
<div>Examiner</div> <div>Date Considered</div>					
<p>*Present Application; listed for information NFO/ach</p>					